

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
 WD2-99-011

Total Pages in this Submission  
 111

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

**Box Patent Application**  
**Washington, D.C. 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**REDEMPTION SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK**

and invented by:

**Jay S. WALKER, Magdalena MIK, Andrew VAN LUCHENE, Jonathan OTTO, Ian LEVITAN, Daniel TEDESCO, John PACKES**

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/337,345

Which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 08/889/503

And which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 08/889,319

Enclosed are:

**Application Elements**

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 65 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal      b. ☐ Informal      Number of Sheets 46
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy)      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & documents)
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class      ☒ Express Mail (Specify Label No.): EL439900859US

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Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: 1
17. ☐ Additional Enclosures (please identify below):

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	110	- 20 =	90	x \$9.00	\$810.00
Indep. Claims	19	- 3 =	16	x \$39.00	\$624.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$380.00
OTHER FEE (specify purpose) <u>Assignment Fee</u>					\$40.00
TOTAL FILING FEE					\$1,854.00

- ☒ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,854.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: September 2, 1999

Patrick Buckley  
Signature

Patrick Buckley  
Attorney for Applicants  
PTO Reg. No. 40,928  
Walker Digital Corporation  
Intellectual Property Department  
One High Ridge Road  
Stamford, CT 06905/(203) 905-6239

cc:

Attorney Docket No.: WD2-99-011

Applicant: Jay WALKER, et al

Title: REDEMPTION SYSTEMS AND METHODS WHEREIN A BUYER TAKES  
POSSESSION AT A RETAILER OF A PRODUCT PURCHASED USING A  
COMMUNICATION NETWORK

And is a Continuation-in-part (CIP) of prior application No.: 09/190,744

Which is a Continuation of Patent Number: 5,794,207

And is a Continuation of prior application No.: 09/083,345

09/083,345

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker, et al )  
)  
For: REDEMPTION SYSTEMS AND ) Examiner: Not Yet Assigned  
METHODS WHEREIN A BUYER )  
TAKES POSSESSION AT A )  
RETAILER OF A PRODUCT )  
PURCHASED USING A )  
COMMUNICATION NETWORK )  
)  
Serial No.: Not Yet Assigned ) Group Art Unit: Not Yet Assigned  
)  
Filing Date: September 2, 1999 ) Docket No.: WD2-99-011

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Certificate of Express Mailing;
2. Utility Patent Application Transmittal;
3. Patent Application (65 pp.);
4. Formal Drawings (46 pp.);
5. Recordation Form Cover Sheet;
6. Assignment of Rights, Title and Interest in Invention;
7. Declaration and Power of Attorney for Application;
8. Verified Statement (Declaration) Claiming Small Entity; and
9. Postcard.

The Assistant Commissioner is hereby authorized to charge \$ 1,894.00 to Deposit Account No. 50-0271. Order No. WD2-99-011. A duplicate copy of this sheet is attached. The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. WD2-99-011. A duplicate copy of this sheet is attached.

September 2, 1999

Date

Respectfully submitted,

*Patrick Buckley*

Patrick J. Buckley  
Attorney for Applicants  
PTO Reg. No. 40,928  
Walker Digital Corporation  
One High Ridge Park  
Stamford, CT 06905



<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Jay S. WALKER, et al	Docket No. WD2-99-011
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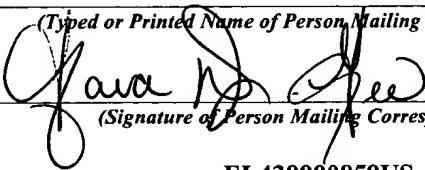
Serial No. Not Yet Assigned	Filing Date September 2, 1999	Examiner Not Yet Assigned	Group Art Unit Not Yet Assigned
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Invention: **REDEMPTION SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK**

1525 U.S. PTO  
09/30/99  
09/30/99

I hereby certify that this CONTINUATION-IN-PART (CIP) PATENT APPLICATION  
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on September 2, 1999  
(Date)

Tara D. Gee  
(Typed or Printed Name of Person Mailing Correspondence)  
  
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